



**COMMONWEALTH OF PENNSYLVANIA
OFFICE OF GENERAL COUNSEL**

**Adam J. Williams
Prosecuting Attorney**

**adawilliam@pa.gov
Prosecution Division**

August 23, 2018

Tony Novak
PO Box 333
Newport, NJ 08345

RE: BPOA File No.:16-55-11437

Dear Mr. Novak:

The Prosecution Division of the Department of State's Office of Chief Counsel, Bureau of Professional and Occupational Affairs, has completed its inquiry into the above referenced complaint filed against you, based on a complaint that you were conducting business activities that would require licensure in Pennsylvania as a CPA without having the proper licensure.

While the results of our investigation did not support filing formal disciplinary action at this time, the investigation did call into question whether you was in violation of Section 12(a) of the CPA Law by working from a home office in Pennsylvania. Please note that investigations by this office are confidential and privileged (See Section 5.1 of the Act of July 2, 1993 (Act 48), as amended, 63 P.S. § 2205.1). Act 48 prohibits this office from disclosing anything other than the final outcome of our investigation to you your client; there are no exceptions under Act 48 granting greater access to information to a person who is the subject of a complaint or who filed a complaint. Therefore, this office cannot provide you or your client with any additional information regarding the specific concerns which caused the file to be opened, the evidence gathered during our review and investigation, or the specific reasoning that led to this office's decision.

Be advised that future complaints of this nature could result in the filing of formal charges against your client, which could result in the imposition of up to a \$10,000.00 civil penalty per violation and/or the imposition of the costs of investigation. Additionally, we reserve the right to reopen this case at our discretion; for example, if additional pertinent information on the case becomes available.

Thank you for your cooperation.

Sincerely,

Adam J. Williams
Prosecuting Attorney
Commonwealth of Pennsylvania
Department of State

